

National Top 10 Allegations

The most common allegations overall, as the 'Top 10' (calculated by the number of times that allegation is used nationally). This is shown month-by-month, as the year-to-date (YTD) top 10 for each month. Also shown are the totals of completed investigations, and how many of the allegations have been upheld*. It should be noted that in some cases, although the investigation has been completed, the final outcome has not yet been recorded. This will mainly be due to administrative requirements and workloads. The figures shown for completed and upheld therefore relate to allegations that were recorded as completed YTD, and those recorded as completed YTD with a finding of upheld*.

Allegations may originate externally (reported by a member of the public, either an individual or organisation), internally (reported from within Police, either by an employee or through standard reporting channels; these relate primarily to operational reviews initiated internally, but may also include internal complaints about conduct in the workplace) or from off duty behaviour (indicating the Police employee was not acting in their capacity as a member of Police).

It is important to understand that this **does not equate to the number of reported incidents**. An allegation may be used multiple times (that is against a number of involved employees) in one incident.

Nor does it equate to the number of staff, as each involved employee may be facing several allegations.

A full list of all allegations grouped into their allegation type can be viewed <u>here</u>.

January 2020

| Allegation | Total | Completed | Upheld* |
|---|-------|-----------|---------|
| Inadequate service | 62 | 7 | 1 |
| Use of force on duty (Manual) | 57 | | |
| Failure - Investigation | 55 | 6 | |
| Attitude/language (External) | 34 | 3 | |
| Breach of Rights | 28 | | |
| Harassment/Bullying/Discrimination (External) | 22 | 4 | |
| Breach of privacy/confidentiality | 18 | | |
| Unlawful Arrest | 11 | | |
| Driving behaviour | 8 | | |
| Attempted suicide (custodial) | 8 | 1 | |



* Where significant event allegations such as a suicide, a Police pursuit resulting in injury or death, or a Police discharge of firearm resulting in injury or death have been upheld, it is important to be aware that this does not necessarily imply that Police were culpable of that injury or death. In most cases there has been a breach of policy or process which is not directly linked to the injury/death.

See page 7 for a definition of 'upheld'.

February 2020 YTD

| Allegation | Total | Completed | Upheld |
|---|-------|-----------|--------|
| Inadequate service | 151 | 40 | 7 |
| Failure - Investigation | 124 | 33 | 1 |
| Use of force on duty (Manual) | 90 | 4 | |
| Attitude/language (External) | 77 | 13 | |
| Breach of Rights | 50 | | |
| Harassment/Bullying/Discrimination (External) | 36 | 12 | |
| Breach of privacy/confidentiality | 27 | 3 | |
| Property (Custodial) | 27 | 3 | 1 |
| Failure - Prosecution | 23 | 7 | 1 |
| Driving behaviour | 18 | 3 | |

March 2020 YTD

| Allegation | Total | Completed | Upheld |
|---|-------|-----------|--------|
| Inadequate service | 253 | 89 | 12 |
| Failure - Investigation | 202 | 61 | 2 |
| Attitude/language (External) | 137 | 36 | 2 |
| Use of force on duty (Manual) | 121 | 12 | |
| Breach of Rights | 59 | 3 | |
| Harassment/Bullying/Discrimination (External) | 50 | 27 | |
| Failure - Prosecution | 40 | 16 | 1 |
| Breach of privacy/confidentiality | 34 | 8 | 1 |
| Property (Custodial) | 33 | 8 | 1 |
| Misuse of vehicle | 27 | 2 | |

April 2020 YTD

| Allegation | Total | Completed | Upheld |
|---|-------|-----------|--------|
| Inadequate service | 306 | 155 | 24 |
| Failure - Investigation | 241 | 112 | 9 |
| Attitude/language (External) | 205 | 86 | 6 |
| Use of force on duty (Manual) | 136 | 35 | |
| Breach of Rights | 67 | 19 | |
| Harassment/Bullying/Discrimination (External) | 63 | 34 | |
| Failure - Prosecution | 49 | 30 | 1 |
| Property (Custodial) | 41 | 13 | 1 |
| Breach of privacy/confidentiality | 39 | 21 | 5 |
| Misuse of vehicle | 37 | 31 | |

May 2020 YTD

| Allegation | Total | Completed | Upheld |
|---|-------|-----------|--------|
| Inadequate service | 411 | 260 | 31 |
| Failure - Investigation | 322 | 190 | 10 |
| Attitude/language (External) | 271 | 180 | 11 |
| Use of force on duty (Manual) | 173 | 63 | |
| Harassment/Bullying/Discrimination (External) | 81 | 53 | |
| Breach of Rights | 81 | 32 | 1 |
| Failure - Prosecution | 62 | 42 | 2 |
| Breach of privacy/confidentiality | 50 | 38 | 8 |
| Property (Custodial) | 50 | 21 | 5 |
| Misuse of vehicle | 42 | 32 | |

June 2020 YTD

| Allegation | Total | Completed | Upheld |
|---|-------|-----------|--------|
| Inadequate service | 504 | 362 | 40 |
| Failure - Investigation | 389 | 277 | 15 |
| Attitude/language (External) | 335 | 248 | 14 |
| Use of force on duty (Manual) | 218 | 97 | |
| Harassment/Bullying/Discrimination (External) | 101 | 78 | 1 |
| Breach of Rights | 96 | 55 | 3 |
| Failure - Prosecution | 70 | 56 | 4 |
| Breach of privacy/confidentiality | 60 | 48 | 9 |
| Property (Custodial) | 57 | 36 | 7 |
| Breach of Government regulation (External) | 47 | 41 | 3 |

July 2020 YTD

| Allegation | Total | Completed | Upheld |
|---|-------|-----------|--------|
| Inadequate service | 605 | 480 | 50 |
| Failure - Investigation | 477 | 375 | 22 |
| Attitude/language (External) | 401 | 332 | 20 |
| Use of force on duty (Manual) | 250 | 140 | |
| Harassment/Bullying/Discrimination (External) | 121 | 99 | 1 |
| Breach of Rights | 108 | 74 | 3 |
| Failure - Prosecution | 84 | 67 | 4 |
| Breach of privacy/confidentiality | 72 | 59 | 12 |
| Property (Custodial) | 65 | 42 | 7 |
| Unlawful search | 55 | 37 | 3 |

August 2020 YTD

| Allegation | Total | Completed | Upheld |
|---|-------|-----------|--------|
| Inadequate service | 681 | 581 | 68 |
| Failure - Investigation | 548 | 449 | 27 |
| Attitude/language (External) | 462 | 385 | 25 |
| Use of force on duty (Manual) | 278 | 173 | |
| Harassment/Bullying/Discrimination (External) | 141 | 118 | 1 |
| Breach of Rights | 118 | 88 | 5 |
| Failure - Prosecution | 94 | 76 | 5 |
| Breach of privacy/confidentiality | 83 | 67 | 13 |
| Property (Custodial) | 75 | 50 | 8 |
| Unlawful search | 57 | 44 | 4 |

September 2020 YTD

| Allegation | Total | Completed | Upheld |
|---|-------|-----------|--------|
| Inadequate service | 755 | 665 | 83 |
| Failure - Investigation | 604 | 513 | 33 |
| Attitude/language (External) | 514 | 437 | 34 |
| Use of force on duty (Manual) | 316 | 205 | 1 |
| Harassment/Bullying/Discrimination (External) | 160 | 132 | 1 |
| Breach of Rights | 129 | 98 | 6 |
| Failure - Prosecution | 101 | 89 | 6 |
| Breach of privacy/confidentiality | 96 | 78 | 16 |
| Property (Custodial) | 78 | 58 | 8 |
| Unlawful search | 61 | 48 | 5 |

October 2020 YTD

| Allegation | Total | Completed | Upheld |
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November 2020 YTD

| Allegation | Total | Completed | Upheld |
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December 2020 EOY

| Allegation | Total | Completed | Upheld |
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Use of force on duty

Use of force on duty (Firearm – non-discharge)

Use of force on duty (Baton)

Use of force on duty (Taser)

Use of force on duty (OC Spray)

Use of force on duty (Dog bite)

Use of force on duty (Restraints)

Use of force on duty (Other object)

Use of force on duty (Manual)

Arrest/Custodial

Unlawful Arrest

Breach of Rights

Improper search whilst in custody

Property (Custodial) Exhibits (Custodial)

Escapes custody

Suicide (custodial)

Attempted suicide (custodial)

Custodial injury Custodial death

Arrest/Custodial (Other)

Searches

Unlawful search

Damage during search

Property (Searches)

Exhibits (Searches)

Searches (Other)

Significant Event

Police pursuit resulting in injury or death

Discharge of firearm resulting in injury or death

Discharge of firearm (no injury)

Unintentional discharge of firearm

Suicide (non-custodial)

Attempted suicide (non-custodial)

Non-custodial injury

Non-custodial death

Traffic Offences

EBA - Over 400 Mgms/Litre (on duty)

Use of vehicle

Excessive speed

Driving behaviour

Inappropriate pursuit

EBA - 251-400 Mgms/Litre (on duty)

Driving under influence of drugs (on duty)

Traffic Offences (Other)

Service Failure

Inadequate service

Failure - Investigation

Failure - Prosecution

Failure to attend

Failure to notify/inform

Inadequate victim management

Inadequate bail management

Failure to return property

Service failure - Other

Unprofessional Behaviour

Attitude/language (External)

Attitude/language (Internal)

Attitude/language (Off duty)

Harassment/Bullying/Discrimination (External)

Harassment/Bullying/Discrimination (Internal)

Breach of Official Conduct

Dishonesty

Unlawful act

Treatment of CYP

Falsification of document

Perjury

Corruption

Conflict of interest

Failure - Other

Breach of privacy/confidentiality

Inappropriate/unlawful disclosure

Cause damage to property

Breach of policy (External)

Negligence or carelessness (External)

Performance/attendance matter (External)

Sexual misconduct (External)

Disgraceful behaviour (External)

Breach of Government regulations (External)

Breach of Official Conduct (Other)

Workplace Behaviour

Disgraceful behaviour (Internal)

Sexual misconduct (Internal)

Drugs/alcohol

Violence

Performance/attendance matter (Internal)

Negligence or carelessness (Internal)

Failure to follow lawful instruction

Breach of policy (Internal)

Breach of Government regulations (Internal)

Workplace Behaviour (Other)

Use of Police Resources

Misuse of email or internet

Unauthorised use of database

Misuse of vehicle

Misuse of property

Damage to property

Use of Police Resources (Other)

Off Duty Behaviour

Disgraceful behaviour (Off duty)

Drugs/alcohol (Off duty)

Violence (Off duty)

EBA - Over 400 Mgms/Litre (Off duty)

EBA - 251-400 Mgms/Litre (Off duty)

Excessive speed (Off duty)

Driving behaviour (Off duty)

Driving under influence of drugs (Off duty)

Breach of Government regulations (Off-duty)

Off Duty Behaviour (Other)

Disclaimer

The information contained in this document is based on data extracted from an operational database that contains over 50,000 records, the majority of which date from prior to the introduction of the current database application on 1 July 2009, and improved data structures gradually and continuously introduced from December 2010 onwards. Therefore not all the data is reliable and may result in omissions and/or errors, especially prior to 2011. The data is dynamic and subject to change as new information is received. While quality assurance has been undertaken on some low-volume critical incident types (e.g. Police use of firearms resulting in injury or death), and reviews are undertaken of database records as and when anomalies are identified, quality assurance of all the data would require the manual checking of many thousands of hard copy and electronic files, which is beyond the resources available to NZ Police.

Upheld/Not upheld



Where significant event allegations such as a suicide, a Police pursuit resulting in injury or death, or a Police discharge of firearm resulting in injury or death have been upheld, it is important to be aware that this does not necessarily imply that Police were culpable of that injury or death. In most cases there has been a breach of policy or process which is not directly linked to the injury/death.

Police Professional Conduct use a number of findings, actions taken and outcomes ranging from serious misconduct and dismissal through to 'not at fault' or 'other redress available'. Where appropriate there may also be some form of facilitated resolution that is satisfactory to all the parties involved, rather than a formal employment outcome.

For the purposes of external reporting these multiple types and combinations of outcomes are 'distilled' into 'Upheld/Not upheld', the definitions of which are:

Upheld

Indicates that the employee or Police as an organisation were at fault and some form of disciplinary or performance action, or change to policy or procedure was required. It does not necessarily indicate culpability, as the fault may be a lapse, error in judgement or poor performance that was not solely responsible for the undesirable outcome.

Not upheld

Indicates no fault has been identified that is attributable to any employee or the organisation. In some cases some form of action may still be taken, usually some form of resolution that is satisfactory to all parties involved or the circumstances being treated as a learning opportunity.