

The most common allegations overall, as the 'Top 10' (calculated by the number of times that allegation is used nationally). This is shown month-by-month, as the year-to-date (YTD) top 10 for each month. Also shown are the totals of completed investigations, and how many of the allegations have been upheld*. It should be noted that in some cases, although the investigation has been completed, the final outcome has not yet been recorded. This will mainly be due to administrative requirements and workloads. The figures shown for completed and upheld therefore relate to allegations that were recorded as completed YTD, and those recorded as completed YTD with a finding of upheld*.

Allegations may originate externally (reported by a member of the public, either an individual or organisation), internally (reported from within Police, either by an employee or through standard reporting channels; these relate primarily to operational reviews initiated internally, but may also include internal complaints about conduct in the workplace) or from off duty behaviour (indicating the Police employee was not acting in their capacity as a member of Police).

It is important to understand that this **does not equate to the number of reported incidents**. An allegation may be used multiple times (that is against a number of involved employees) in one incident.

Nor does it equate to the number of staff, as each involved employee may be facing several allegations.

A full list of all allegations grouped into their allegation type can be viewed <u>here</u>.

Allegation	Total	Completed	Upheld*
Inadequate service	79	20	
Failure - Investigation	76	27	
Attitude/language (External)	54	13	1
Use of force on duty (Manual)	32	1	
Breach of privacy/confidentiality	14	1	
Conflict of interest	10	2	
Harassment/Bullying/Discrimination (External)	10	3	
Failure - Prosecution	9	7	
Failure to attend	8		
Driving behaviour	7	2	

January 2021



Where significant event allegations such as a suicide, a Police pursuit resulting in injury or death, or a Police discharge of firearm resulting in injury or death have been upheld, it is important to be aware that this does not necessarily imply that Police were culpable of that injury or death. In most cases there has been a breach of policy or process which is not directly linked to the injury/death.

See page 7 for a definition of 'upheld'.

February 2021 YTD

Allegation	Total	Completed	Upheld
Failure - Investigation	177	93	5
Inadequate service	168	95	4
Attitude/language (External)	126	63	2
Use of force on duty (Manual)	64	8	
Breach of privacy/confidentiality	35	9	
Harassment/Bullying/Discrimination (External)	29	14	
Failure to attend	19	5	
Driving behaviour	18	6	
Conflict of interest	18	3	
Unlawful search	18	1	

March 2021 YTD

Allegation	Total	Completed	Upheld
Inadequate service	245	163	12
Failure - Investigation	231	147	12
Attitude/language (External)	174	100	10
Use of force on duty (Manual)	94	19	
Breach of privacy/confidentiality	47	19	
Harassment/Bullying/Discrimination (External)	44	23	
Unlawful search	27	9	
Attempted suicide (custodial)	26	6	
Failure to attend	25	13	
Failure - Prosecution	24	15	

April 2021 YTD

Allegation	Total	Completed	Upheld

May 2021 YTD

Allegation	Total	Completed	Upheld

June 2021 YTD

Allegation	Total	Completed	Upheld

July 2021 YTD

Allegation	Total	Completed	Upheld

August 2021 YTD

Allegation	Total	Completed	Upheld

September 2021 YTD

Allegation	Total	Completed	Upheld

October 2021 YTD

Allegation	Total	Completed	Upheld

November 2021 YTD

Allegation	Total	Completed	Upheld

December 2021 EOY

Allegation	Total	Completed	Upheld

Use of force on duty

Use of force on duty (Firearm – non-discharge) Use of force on duty (Baton) Use of force on duty (Taser) Use of force on duty (OC Spray) Use of force on duty (Dog bite) Use of force on duty (Restraints) Use of force on duty (Other object) Use of force on duty (Manual)

Arrest/Custodial

Unlawful Arrest Breach of Rights Improper search whilst in custody Property (Custodial) Exhibits (Custodial) Escapes custody Suicide (custodial) Attempted suicide (custodial) Custodial injury Custodial death Arrest/Custodial (Other)

Searches

Unlawful search Damage during search Property (Searches) Exhibits (Searches) Searches (Other)

Significant Event

Police pursuit resulting in injury or death Discharge of firearm resulting in injury or death Discharge of firearm (no injury) Unintentional discharge of firearm Suicide (non-custodial) Attempted suicide (non-custodial) Non-custodial injury Non-custodial death

Traffic Offences

EBA - Over 400 Mgms/Litre (on duty) Use of vehicle Excessive speed Driving behaviour Inappropriate pursuit EBA - 251-400 Mgms/Litre (on duty) Driving under influence of drugs (on duty) Traffic Offences (Other)

Service Failure

Inadequate service Failure - Investigation Failure - Prosecution Failure to attend Failure to notify/inform Inadequate victim management Inadequate bail management Failure to return property Service failure - Other

Unprofessional Behaviour

Attitude/language (External) Attitude/language (Internal) Attitude/language (Off duty) Harassment/Bullying/Discrimination (External) Harassment/Bullying/Discrimination (Internal)

Breach of Official Conduct

Dishonesty Unlawful act Treatment of CYP Falsification of document Perjury Corruption Conflict of interest Failure - Other Breach of privacy/confidentiality Inappropriate/unlawful disclosure Cause damage to property Breach of policy (External) Negligence or carelessness (External) Performance/attendance matter (External) Sexual misconduct (External) Disgraceful behaviour (External) Breach of Government regulations (External) Breach of Official Conduct (Other) Workplace Behaviour Disgraceful behaviour (Internal) Sexual misconduct (Internal) Drugs/alcohol Violence Performance/attendance matter (Internal) Negligence or carelessness (Internal) Failure to follow lawful instruction Breach of policy (Internal) Breach of Government regulations (Internal) Workplace Behaviour (Other) Use of Police Resources Misuse of email or internet Unauthorised use of database Misuse of vehicle Misuse of property Damage to property Use of Police Resources (Other) **Off Duty Behaviour** Disgraceful behaviour (Off duty) Drugs/alcohol (Off duty) Violence (Off duty) EBA - Over 400 Mgms/Litre (Off duty) EBA - 251-400 Mgms/Litre (Off duty) Excessive speed (Off duty) Driving behaviour (Off duty) Driving under influence of drugs (Off duty) Breach of Government regulations (Off-duty) Off Duty Behaviour (Other)

Disclaimer

The information contained in this release is based on data extracted from a continuously expanding operational database, with the earliest record dating from January 1984. The current more efficient database application was introduced on 1 July 2009, and improved data structures were gradually and continuously introduced from December 2010 onwards. Therefore, not all the data is reliable and may result in omissions and/or errors, especially prior to 2011. The data is dynamic and subject to change as new information is received. While quality assurance has been undertaken on some low-volume critical incident types (e.g. Police use of firearms resulting in injury or death), and reviews are undertaken of database records as and when anomalies are identified, quality assurance of all the data would require the manual checking of many thousands of hard copy and electronic files, which is beyond the resources available to NZ Police.

Upheld/Not upheld



Where significant event allegations such as a suicide, a Police pursuit resulting in injury or death, or a Police discharge of firearm resulting in injury or death have been upheld, it is important to be aware that this does not necessarily imply that Police were culpable of that injury or death. In most cases there has been a breach of policy or process which is not directly linked to the injury/death.

Police Professional Conduct use a number of findings, actions taken and outcomes ranging from serious misconduct and dismissal through to 'not at fault' or 'other redress available'. Where appropriate there may also be some form of facilitated resolution that is satisfactory to all the parties involved, rather than a formal employment outcome.

For the purposes of external reporting these multiple types and combinations of outcomes are 'distilled' into 'Upheld/Not upheld', the definitions of which are:

• Upheld

Indicates that the employee or Police as an organisation were at fault and some form of disciplinary or performance action, or change to policy or procedure was required. It does not necessarily indicate culpability, as the fault may be a lapse, error in judgement or poor performance that was not solely responsible for the undesirable outcome.

• Not upheld

Indicates no fault has been identified that is attributable to any employee or the organisation. In some cases some form of action may still be taken, usually some form of resolution that is satisfactory to all parties involved or the circumstances being treated as a learning opportunity.