## Incidents, Employees and Allegations

This table shows the **number of incidents** that have occurred in each District, the **number of involved employees** (that is have allegations made against them), the **number of allegations made** (against employees or the organisation) and the **types of allegation** that are being made.

Firstly there is a general summary showing the totals for each Police District. Also shown in this summary are the number of completed investigations and how many were concluded with **at least one of the allegations** in that incident being upheld.

This is followed by further tables with breakdowns by employee type and allegation type for each Police District.

It is to be noted that numbers of staff vary between districts and this will be reflected in the varying numbers of complaints in each district.

See page 10 for an explanation of the types of allegation.

Jan - Sep 2017						
Summary Totals						
Districts	Incidents	Involved Staff	Allegations Made	Completed Incidents	At least one upheld allegation	
Auckland City	168	193	233	84	23	
Bay Of Plenty	102	129	139	70	21	
Canterbury	225	265	301	148	16	
Central	153	192	234	97	20	
Counties/Manukau	207	232	269	115	19	
Eastern	103	133	147	64	28	
Northland	87	95	114	61	15	
Southern	135	155	192	84	10	
Tasman	79	100	110	45	13	
Waikato	148	178	201	96	24	
Waitematā	149	172	184	89	34	
Wellington	228	273	316	143	31	
Service Centres	119	159	170	53	17	
National	1,903	2,276	2,610	1,149	271	

Please note that in very rare cases there may be less allegations made than the number of involved staff. This occurs when staff from one district are involved in an incident originating from or being investigated in another District.

Districts	Involved Staff	Allegations Made	Type of Allegation/Conduct		
Auckland City	140	169	Use of force on duty (13) Arrest/Custodial (17) Searches (3) Significant Event (2) Traffic Offences (13) Service Failure (61) Unprofessional Behaviour (34) Breach of Official Conduct (18) Workplace Behaviour (2) Use of Police Resources (3) Off Duty Behaviour (3)		
Bay Of Plenty	88	97	Use of force on duty (14) Arrest/Custodial (2) Searches (3) Significant Event (2) Traffic Offences (3) Service Failure (41) Unprofessional Behaviour (23) Breach of Official Conduct (9)		
Canterbury	197	230	Use of force on duty (25) Arrest/Custodial (16) Searches (9) Significant Event (5) Traffic Offences (3) Service Failure (87) Unprofessional Behaviour (45) Breach of Official Conduct (33) Workplace Behaviour (4)		
Central	157	193	Use of force on duty (22) Arrest/Custodial (14) Searches (4) Significant Event (5) Traffic Offences (3) Service Failure (54) Unprofessional Behaviour (41) Breach of Official Conduct (35) Workplace Behaviour (5) Use of Police Resources (6) Off Duty Behaviour (4)		

Districts	Involved Staff	Allegations Made	Type of Allegation/Conduct
Counties/Manukau	155	185	Use of force on duty (21) Arrest/Custodial (23) Searches (3) Significant Event (13) Traffic Offences (4) Service Failure (64) Unprofessional Behaviour (26) Breach of Official Conduct (23) Workplace Behaviour (2) Use of Police Resources (1) Off Duty Behaviour (5)
Eastern	100	112	Use of force on duty (20) Arrest/Custodial (6) Searches (5) Significant Event (4) Traffic Offences (1) Service Failure (35) Unprofessional Behaviour (18) Breach of Official Conduct (16) Workplace Behaviour (2) Use of Police Resources (3) Off Duty Behaviour (2)
Northland	64	81	Use of force on duty (15) Arrest/Custodial (6) Significant Event (1) Traffic Offences (2) Service Failure (26) Unprofessional Behaviour (13) Breach of Official Conduct (8) Workplace Behaviour (6) Use of Police Resources (1) Off Duty Behaviour (3)
Southern	117	148	Use of force on duty (20) Arrest/Custodial (12) Searches (5) Significant Event (3) Traffic Offences (3) Service Failure (46) Unprofessional Behaviour (20) Breach of Official Conduct (26) Workplace Behaviour (5) Use of Police Resources (1) Off Duty Behaviour (7)

Districts	Involved Staff	Allegations Made	Type of Allegation/Conduct
Tasman	81	87	Use of force on duty (12) Arrest/Custodial (14) Searches (3) Significant Event (5) Traffic Offences (5) Service Failure (30) Unprofessional Behaviour (4) Breach of Official Conduct (8) Workplace Behaviour (1) Use of Police Resources (1) Off Duty Behaviour (4)
Waikato	120	136	Use of force on duty (22) Arrest/Custodial (12) Searches (1) Significant Event (12) Traffic Offences (4) Service Failure (43) Unprofessional Behaviour (26) Breach of Official Conduct (13) Use of Police Resources (1) Off Duty Behaviour (2)
Waitematā	114	121	Use of force on duty (8) Arrest/Custodial (14) Searches (4) Significant Event (4) Traffic Offences (2) Service Failure (37) Unprofessional Behaviour (24) Breach of Official Conduct (20) Workplace Behaviour (4) Use of Police Resources (1) Off Duty Behaviour (3)
Wellington	208	241	Use of force on duty (2) Arrest/Custodial (1) Service Failure (11) Unprofessional Behaviour (14) Breach of Official Conduct (14) Workplace Behaviour (6) Use of Police Resources (3) Off Duty Behaviour (4)

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Districts	Involved Staff	Allegations Made	Type of Allegation/Conduct
Service Centres	60	57	Use of force on duty (2) Arrest/Custodial (1) Service Failure (13) Unprofessional Behaviour (14) Breach of Official Conduct (14) Workplace Behaviour (6) Use of Police Resources (3) Off Duty Behaviour (4)
National	1,601	1,857	

Districts	Involved Staff	Allegations Made	Type of Allegation/Conduct
Auckland City	53	64	Use of force on duty (6) Arrest/Custodial (8) Searches (1) Significant Event (1) Traffic Offences (3) Service Failure (22) Unprofessional Behaviour (14) Breach of Official Conduct (5) Workplace Behaviour (4)
Bay Of Plenty	41	42	Use of force on duty (2) Arrest/Custodial (2) Searches (1) Significant Event (2) Traffic Offences (2) Service Failure (23) Unprofessional Behaviour (5) Breach of Official Conduct (4) Off Duty Behaviour (1)
Canterbury	68	71	Use of force on duty (2) Arrest/Custodial (8) Significant Event (8) Traffic Offences (5) Service Failure (33) Unprofessional Behaviour (9) Breach of Official Conduct (5) Off Duty Behaviour (1)
Central	35	41	Use of force on duty (2) Arrest/Custodial (1) Significant Event (3) Traffic Offences (1) Service Failure (22) Unprofessional Behaviour (3) Breach of Official Conduct (6) Workplace Behaviour (2) Use of Police Resources (1)

Districts	Involved Staff	Allegations Made	Type of Allegation/Conduct
Counties/Manukau	77	84	Use of force on duty (6) Arrest/Custodial (6) Significant Event (4) Traffic Offences (2) Service Failure (38) Unprofessional Behaviour (15) Breach of Official Conduct (8) Workplace Behaviour (2) Use of Police Resources (1) Off Duty Behaviour (2)
Eastern	33	35	Use of force on duty (1) Arrest/Custodial (9) Searches (3) Significant Event (1) Service Failure (12) Unprofessional Behaviour (3) Breach of Official Conduct (5) Off Duty Behaviour (1)
Northland	31	33	Use of force on duty (1) Arrest/Custodial (4) Searches (1) Significant Event (3) Traffic Offences (2) Service Failure (14) Unprofessional Behaviour (4) Breach of Official Conduct (2) Workplace Behaviour (1) Use of Police Resources (1)
Southern	38	44	Use of force on duty (3) Arrest/Custodial (5) Searches (1) Significant Event (2) Service Failure (18) Unprofessional Behaviour (9) Breach of Official Conduct (4) Use of Police Resources (1) Off Duty Behaviour (1)

Districts	Involved Staff	Allegations Made	Type of Allegation/Conduct
Tasman	19	23	Use of force on duty (5) Arrest/Custodial (3) Significant Event (1) Service Failure (11) Unprofessional Behaviour (1) Breach of Official Conduct (1) Workplace Behaviour (1)
Waikato	58	65	Use of force on duty (5) Arrest/Custodial (13) Searches (2) Significant Event (1) Service Failure (31) Unprofessional Behaviour (6) Breach of Official Conduct (4) Workplace Behaviour (2) Off Duty Behaviour (1)
Waitematā	58	63	Use of force on duty (4) Arrest/Custodial (12) Significant Event (1) Traffic Offences (1) Service Failure (27) Unprofessional Behaviour (9) Breach of Official Conduct (9)
Wellington	65	75	Use of force on duty (5) Arrest/Custodial (9) Searches (4) Significant Event (4) Traffic Offences (3) Service Failure (33) Unprofessional Behaviour (9) Breach of Official Conduct (5) Use of Police Resources (1) Off Duty Behaviour (2)

Districts	Involved Staff	Allegations Made	Type of Allegation/Conduct
Service Centres	99	113	Arrest/Custodial (1) Traffic Offences (1) Service Failure (49) Unprofessional Behaviour (18) Breach of Official Conduct (23) Workplace Behaviour (9) Use of Police Resources (4) Off Duty Behaviour (8)
National	675	753	

## Explanations of allegation types

## Use of force on duty

Constabulary employees and Authorised Officers have the power to use reasonable force to overcome someone escaping or resisting Police or when there is a justifiable belief their actions will harm any person, including themselves.

Police have a number of options, and selection of which to use is a judgement of the scale of threat or imminent danger to the employee or another person, and the possibilities of other people being at risk of injury by the course of action chosen.

These allegations are used when an employee has potentially exceeded their power of use of reasonable force.

### Arrest/Custodial

Constabulary employees have the power to arrest and detain in custody. Use of these powers must be in accordance with legislation and considering a person's rights under the law.

Being arrested and placed in custody can be a stressful experience, and peoples' behaviour can become volatile and unpredictable. Alcohol or drugs can increase these risks.

Procedures have been developed to minimise the risks to everyone concerned, but sometimes errors of judgement can be made in such a stressful or hectic environment. People in custody also sometimes misunderstand what is happening and why. For example, their property and certain clothing items will be removed to prevent their use in harming themselves or others. Escape attempts are also dangerous and people in custody may even self-harm or attempt suicide.

It is of paramount importance to control people and the situation in these circumstances, which may include a use of force to subdue someone whose behaviour makes them a greater risk to everyone, including themselves.

These allegations are used when there has potentially been a lapse in the correct procedures.

### Searches

Constabulary employees have the power to search a person in custody or (under the Search and Surveillance Act) a property or residence for evidence of a criminal offence, or possession of an offensive weapon or illegal substances, and seize such items.

The search, seizure, retention and return (or possible destruction) must be conducted in accordance with the law and Police policy and procedure.

These allegations are used when there has potentially been a lapse in the correct procedures.

## Significant event

Significant events include, but are not restricted to:

- A Police pursuit resulting in injury or death;
- A Suicide or attempted suicide (non-custodial) immediately following an interaction with Police;
- A <u>use of force</u> by Police resulting in serious bodily harm or death;
- A use of a mechanical restraint resulting in serious bodily harm or death;
- A discharge of a firearm by Police whether intentional or unintentional, or resulting in no injury, injury or death;
- A serious bodily harm or death that appears to have been caused by Police by any other means;

• An action by a Police employee that may bring Police into disrepute or undermine trust and confidence in Police.

### **Traffic Offences**

Relating to the alleged illegal or inappropriate driving of a Police vehicle on duty.

This includes an alleged inappropriate pursuit. Even if a pursuit does not result in injury or death (see <a href="Significant Events">Significant Events</a>), there is strict policy and procedure around initiating, continuing or abandoning a pursuit.

### Service Failure

The Service Failure allegations will actually be part of the type 'Breach of Official Conduct'.

However for our statistical reporting, in order to highlight these particular types of perceived conduct that are currently being targeted for remedial action, the allegations have been moved into this (new) type category.

The levels of performance for highlighting are to do with a perceived failure to provide an adequate service to the public in criminal investigations, victim focus and court proceedings.

## **Unprofessional Behaviour**

The Unprofessional Behaviour allegations will actually be part of the types 'Breach of Official Conduct', 'Workplace Behaviour' or 'Off-Duty Behaviour'.

However for our statistical reporting, in order to highlight these particular types of perceived conduct that are currently being targeted for remedial action, the allegations have been moved into this (new) type category.

The behaviours for highlighting are alleged inappropriate and unprofessional language or attitude, and any alleged form of harassment, bullying or discrimination, in any environment.

### **Breach of Official Conduct**

There is an expectation under the Code of Conduct that all employees will follow 'Our Values' of:

- Professionalism
- Respect
- Integrity
- Commitment to Māori and the Treaty
- Empathy
- Valuing Diversity

This is true for behaviour when dealing with the public in an official capacity. In addition there are standards of procedure and practice in accordance with policy and the law that are expected to be met in all dealings with the public.

These allegations are intended for use when potentially this expectation has not been met.

### Workplace Behaviour

There is an expectation under the Code of Conduct that all employees will follow 'Our Values' of:

- Professionalism
- Respect
- Integrity

- Commitment to Māori and the Treaty
- Empathy
- Valuing Diversity

This is true for behaviour in the workplace as well as when dealing with the public.

These allegations are intended for use when this expectation is potentially not met.

### Use of Police Resources

Police employees have access to a number of electronic devices, vehicles, clothing, equipment and weaponry to assist them in the execution of their duties.

Use of these items is governed by strict policy and most especially that they should only be used for work related purposes and only when appropriate.

These allegations are intended to be used for the potential misuse of these resources.

## Off Duty Behaviour

There is an expectation under the Code of Conduct that all employees will follow 'Our Values' of:

- Professionalism
- Respect
- Integrity
- Commitment to Māori and the Treaty
- Empathy
- Valuing Diversity

This is true for behaviour while off duty as well as in an official capacity.

These allegations are intended for use when this expectation has potentially not been met.

### Use of force on duty

Use of force on duty (Firearm)

Use of force on duty (Baton)

Use of force on duty (Taser)

Use of force on duty (OC Spray)

Use of force on duty (Dogbite)

Use of force on duty (Restraints)

Use of force on duty (Other object)

Use of force on duty (Manual)

#### Arrest/Custodial

**Unlawful Arrest** 

Breach of Rights

Improper search whilst in custody

Property (Custodial)

**Exhibits (Custodial)** 

Escapes custody

Suicide (custodial)

Attempted suicide (custodial)

Cause injury (custodial)

Cause death (custodial)

Arrest/Custodial (Other)

#### Searches

Unlawful search

Damage during search

Property (Searches)

Exhibits (Searches)

Searches (Other)

#### Significant Event

Police pursuit resulting in injury or death

Discharge of firearm resulting in injury or death

Discharge of firearm (no injury)

Unintentional discharge of firearm

Suicide (non-custodial)

Attempted suicide (non-custodial)

Cause injury (non-custodial)

Cause death (non-custodial)

### **Traffic Offences**

EBA - TON (on duty)

Use of vehicle

Excessive speed

Negligent/dangerous driving

Inappropriate pursuit

EBA - ION (on duty)

Traffic Offences (Other)

### Service Failure

Inadequate service

Failure - Investigation

Failure - Prosecution

Failure to attend

Failure to notify/inform

Inadequate victim management

Inadequate bail management

Failure to return property

Service failure - Other

### **Unprofessional Behaviour**

Attitude/language (External)

Attitude/language (Internal)

Attitude/language (Off duty)

Harassment/Bullying/Discrimination (External)

Harassment/Bullying/Discrimination (Internal)

### **Breach of Official Conduct**

Dishonesty

Unlawful act

Treatment of CYP

Falsification of document

Perjury

Corruption

Conflict of interest

Failure - Other

Breach of privacy/confidentiality

Inappropriate/unlawful disclosure

Cause damage to property

Breach of policy (External)

Negligence or carelessness (External)

Performance/attendance matter (External)

Sexual misconduct (External)

Disgraceful behaviour (External)

Breach of Official Conduct (Other)

#### Workplace Behaviour

Disgraceful behaviour (Internal)

Sexual misconduct (Internal)

Drugs/alcohol

Violence

Performance/attendance matter (Internal)

Negligence or carelessness (Internal)

Failure to follow lawful instruction

Breach of policy (Internal)

Workplace Behaviour (Other)

### Use of Police Resources

Misuse of email or internet

Unauthorised use of database

Misuse of vehicle

Misuse of property

Damage to property

Use of Police Resources (Other)

### Off Duty Behaviour

Disgraceful behaviour (Off duty)

Sexual misconduct (Off duty)

Drugs/alcohol (Off duty)

Violence (Off duty)

EBA - TON (Off duty)

EBA - ION (Off duty)

TON Issued (non EBA) (Off duty)

ION Issued (non EBA) (Off duty)

Off Duty Behaviour (Other)