

IR-01-22-4812

28 March 2022



Tēnā koe s.9(2)(a) OIA

#### Request for information

Thank you for your Official Information Act 1982 (OIA) request of 21 February 2022, in which you asked for information relating to the Wellington protests.

You requested the following:

Has police commissioner discussed using water cannon and baton charge against Wellington protesters in any internal meetings? If not why

No. Water cannons and baton charging are not tactical options that Police use.

You have the right to ask the Ombudsman to review my decision if you are not satisfied with the response to your request. Information about how to make a complaint is available at: www.ombudsman.parliament.nz.

Yours sincerely

**David Greig** 

Superintendent - Major Operations Centre

**New Zealand Police** 





31 March 2022



Tēnā koe

#### Request for information

Thank you for your Official Information Act 1982 request of 21 February 2022, in which you asked the following:

Have any communications disruption devices or strategies during in Wellington during the duration of the Convoy protest (February 8 onwards). In particular, devices or strategies that disrupt cell phone calls, messaging, and/or cell phone internet data.

If so.

- o Who conducted this operation?
- o What devices of strategies have been used?
- o What devices and/or services were affected?
- o How many devices/users were affected?
- o When were they used and for what period?
- o What locations were targeted?

I can confirm that no devices or strategies were employed to disrupt cell phones or other communication devices during the protest at Parliament between 8 February and 2 March 2022.

I trust you find this information helpful.

Nāku noa, nā

Scott Cooper Inspector

New Zealand Police





IR-01-22-4632

4 April 2022





#### Request for information

Thank you for your Official Information Act 1982 (OIA) request of 18 February 2022, in which you asked for information relating to the Wellington protests.

You requested the following:

Please provide all relevant documents that were on the Commissioner's mind when he made the claim "negotiation and deescalation is the only safe option, and therefore most desirable, way to resolve the Wellington.

When Commissioner Coster said on 18 February 2022 that "negotiation and escalation is the only safe option" for resolution of the Parliament protest, he was giving his cumulative assessment of the circumstances as he understood them to be, based on numerous in-person briefings and conversations with Police staff and others with an understanding of the situation.

This assessment included an understanding of the nature of the crowd, observed protestor responses to Police tactics, the physical environment, and the options available to Police to resolve the occupation. The Commissioner addressed some of these factors in interviews provided to TVNZ's Q+A programmes, which aired on 20 February and 6 March 2022.

Across all its operations, Police uses two key tools for assessing risk and determining the most appropriate tactics – Operational threat assessment (TENR) and the Tactical Options Framework. Documents summarising these tools are attached.

The specific factors and wider circumstances that informed the Commissioner's assessment will be examined as part of the Independent Police Conduct Authority review of Police's management of the protest, which will be published upon its completion.

You have the right to ask the Ombudsman to review my decision if you are not satisfied with the response to your request. Information about how to make a complaint is available at: www.ombudsman.parliament.nz.

Yours sincerely

**David Greig** Superintendent – Capability New Zealand Police



# **TENR-Operational threat assessment**

Released pursuant to Official Information Act 1982

#### **Table of Contents**

Table of Contents	2
Overview	3
Execut ve summary	3
App cat on and purpose	3
Def n t ons	3
TENR - operational threat assessment	4
TENR e ements	4
Th ngs to be aware of	4
TENR d agram	4
Roles and responsibilities	6
Emp oyee respons b t es	6
Superv sor respons b t es	6
Po ce organ sat ona respons b t es	6
TENR assessment procedures	<b>1</b> 900
Assess ng the threat	8
Assess ng the exposure	9
Assess ng the necess ty to act now, ater or not at a	10
Assess ng the exposure Assess ng the necess ty to act now, ater or not at a  Developing a response  Before you respond  Your response opt ons	11
Before you respond	11
Your response opt ons	11
Response methods	11
Your response opt ons Response methods Recording and reporting	12
Notebook record ng	12
Report ng the use of force	12
Notebook record ng Report ng the use of force Debr ef	12
Data updates and reports ( essons earnt)	12

#### **Overview**

#### **Executive summary**

The Po ce threat assessment methodo ogy (TENR) s a dec s on making process that supports the time y and accurate assessment of information directly relevant to the safety of Police and others. The response to any given situation must be cons dered, t me y, proport onate and appropr ate.

The overr d ng pr nc p e when app y ng TENR s that of 'safety s success'. V ct m, pub c and emp oyee safety are paramount, and every effort must be made to m n m se harm and max m se safety. In add t on, these cr t ca po nts app y:

- Po ce must app y TENR assessment methodo ogy to a po c ng s tuat ons that nvo ve r sk and have the potent a to cause harm.
- TENR assessments must be carred out in conjunction with thePolice Integrated Tactical Training (PITT) and Use of force chapters of the Po ce Manua, the Tactical Options Framework (see PDF be ow), assoc ated staff safety techn ca training regimes, the Co-ordinated Incident Management System, Civi Defence and Emergency Management and other re evant Po ce Manua chapters and Standard Operating Procedures.
- TENR can be conducted intuitively, worked through in a Police notebook, or in detail as part of a planned operation, depend ng on the c rcumstances.



Tactical options framework (PDF)

147.26 KB

\* A notebook card of the above (pdf) can be ordered via Lockheed Martin (710237 Lockheed PLC241 tac op-card) pplication and purpose

s chapter app es to a:

constab es

author sed off cers

any other Po ce emp oyees who from t me to t me may need to conduct a threat or r sk assessment

#### **Application and purpose**

This chapter applies to a :

and:

• deta s the po cy beh nd TENR and how t must be used.

#### **Definitions**

Threat	'Threat' means any individual/s, or any act/s, or anything/s likely to cause harm or that have the potential to hinder Police in the performance of their duties.
-	'Exposure' means the potent a for harm (phys ca or otherw se) to peop e, or the secur ty of p aces or th ngs.  Exposure can be m't gated through assessment and p ann ng.
_	'Necess ty' s the assessment to determ ne f there s a need for the operat on or intervent on to proceed now, ater or not at a .
•	'Response' means the proport onate and t me y execut on of Po ce dut es a ded by the appropr ate use of tact cs and tact ca opt ons.
	'R sk' means the effect of uncerta nty on Po ce object ves comb n ng the consequences of an event and the assoc ated ke hood of that event occurr ng.
Suspect	'Suspect' means a person who poses a threat of harm to themse ves, other members of the pub c or Po ce.

#### **TENR** - operational threat assessment

#### **TENR** elements

**TENR** s a too that enab es better dec s on-mak ng where:

- T the threat s assessed
- E the exposure s managed
- N the necessity to intervene is considered
- R and any response s proport onate, and based on a considered risk assessment of threat, exposure and necessity.

#### Things to be aware of

When you app y TENR you must:

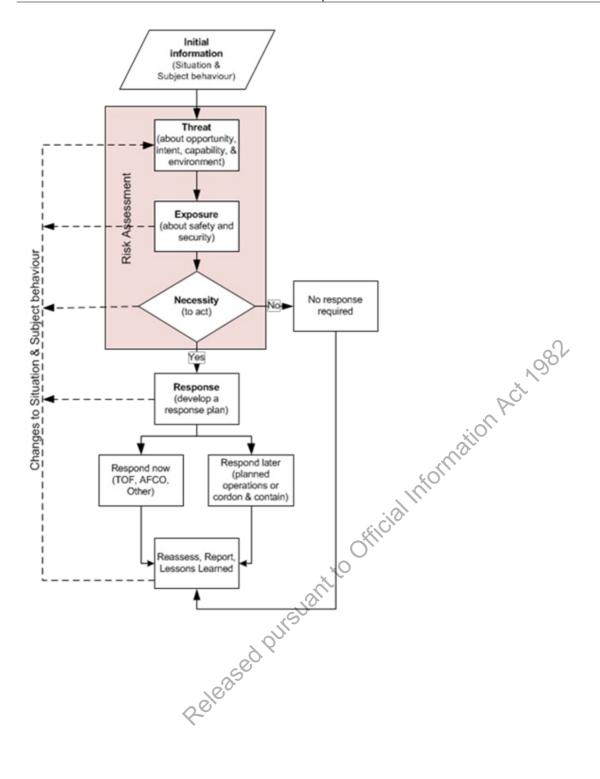
- comp y w th ex st ng eg s at on and po cy
- respond proport onate y to any threat.

TENR s not an end n tsef - where appropr ate, t gu des:

- Released pursuant to Official Information Act 1982 • effect ve tra n ng, spec f ca y staff safety techn ca management
- · robust systems
- operationa preparedness
- · accurate and t me y nte gence
- · effect ve commun cat on
- qua ty superv s on and eadersh p
- · robust command and contro framework
- the Tact ca Opt ons Framework.

#### **TENR** diagram

4/12



#### Roles and responsibilities

#### **Employee responsibilities**

Emp oyees must take a reasonable care for the rown health and safety, and take reasonable care that the racts or omissions do not adversely affect the health and safety of other persons. Each employee has a responsible ty to identify, assess and controlin risks within the workplace and in the operational policing environment.

Emp oyees are subject to the chain of command and have an overriding responsibility to act reasonably, act in compliance with this chapter, and act with legal justification during the execution of their duties.

Emp oyees must ensure:

- the r tra n ng cert f cat ons n a required competencies are current
- they carry the r author sed equipment and appointments when they deploy on duty
- · they are ft for duty
- they understand and comp y w th eg s at on, po cy and procedures
- they fo ow awfu orders and nstruct ons
- they report dent fed training, knowledge and experience gaps to their supervisor and seek guidance when necessary.

Any nc dent has the potent a to deve op and change qu ck y. It w not a ways be poss be to have comp ete and accurate nformat on about the nc dent at hand. In these nstances, emp oyees must be ab e to demonstrate they fo owed re evant Po ce operating procedures during the 'necessity' to act assessment.

Understand ng the threat, exposure and necess ty w gu de p ann ng ead ng to the 'response'. The response must be proport onate to the threat and the r sk to Po ce and the pub c. In some natances - hav ng taken a of the c rcumstances nto account - t m ght be appropriate to de ay an immediate response.

Emp oyees should seek the advice and guidance of a supervisor of other more experienced officers where a developing situation requires this support.

A emp oyees must understand and app y TENR within the operational policing environment.

#### Supervisor responsibilities

Superv sors must ensure:

- a emp oyees understand and apply TENR with nithe operational policing environment
- · before, during and after each phase of any operation or relevant incident, the situation is assessed and reassessed
- emp oyees are adequate y trained, certified and equipped and the equipment is current, in working order and is available to staff in accordance with policy, practice and procedures
- fau ts or gaps in training and experience are identified, reported and addressed and failure to meet training standards is performance managed in accordance with the performance improvement framework
- r sky behav ours are dent f ed and m t gated
- act ve eadersh p n r sk s tuat ons
- appropr ate debr efs are undertaken with emp oyees; ensuring that lessons earned are recorded through the Lessons
   Learnt Intranet page, and information relating to new police operational procedures supported by technical developments are shared, (subject to the appropriate mandate from Police).

#### Police organisational responsibilities

Po ce has respons b t es to prov de emp oyees w th:

- accurate po cy and procedures,
- tranng,
- · superv s on and,
- su tab e equ pment

to most effect ve y manage the threats posed by the env ronment in which they are operating.

Po ce must a so take reasonab e steps to:

- acquire and keep up to date knowledge of work health and safety matters
- gan an understanding of the nature of the hazards and risks associated with operational policing
- use appropr ate resources and processes to e m nate or m n m se r sk to hea th and safety from operat ona po c ng
- ensure appropr ate processes for rece v ng and cons der ng nformat on regard ng hazards and r sks, and for respond ng n a t me y way to that nformat on.

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#### **TENR** assessment procedures

#### Assessing the threat

Threat s about how ser ous the s tuat on s (or could be), and the present or potent a danger the s tuat on, environment, or suspect presents to themse ves, other members of the public or Police. In relation to a suspected offence, it includes the suspect's intention to resist or avoid arrest. Police must assess the threat posed by the suspect's tuat on based on a lava able information including what they see and hear, and what is known about the suspect's tuat on.

n order to better understand the threat of a s tuat on or suspect, the 'threat' e ement of TENR s broken down nto the fo owng four sub-sets:

- Intent
- Capability
- Opportunity
- Physical environment.

Fo ow these steps to assess the threat.

Step	Assess
1 Intent	In regards to a suspected offence, consider the situation and/or the suspect's key intent by asking yourse f:
	What cr me(s) has been comm tted/detected/s gna_ed?
	What current offend ng s be ng nvest gated?
	Is this a continuing threat?
	What s the key current state of m nd (based on the r act ons) and menta heath of the suspect?
	How committed is the suspect to achieve the criminal aim?
	Is there propens ty for v o ence / ant -soc a behav our f confronted?
	Is the suspect under the influence (or key to be under the influence) of any substances?
	What s the h story of cr m na act v t es and assoc at ons?
	What do Po ce know about the suspect?
2 Capabilit	In regards to a suspected offence, consider if the suspect is physically capable of carrying out the threat by
	ask ng yourse f:
	Is there a h story of f rearm (or other weapon) possess on or use?
	<ul> <li>Does the suspect have know edge of and access to counter-surve ance, computer or other e ectron cs equipment?</li> </ul>
	Does the suspect own or have access to motor veh c es?
	<ul> <li>Has the suspect been mpr soned, nvo ved n cr m na act v t es and assoc at ons, or do they have know edge of aw enforcement process or procedures?</li> </ul>
	Was the suspect ever targeted by Po ce?
	<ul> <li>Does the suspect have known co-offenders, assoc ates, gang aff at ons/assoc at ons? W they influence the suspect's behav our?</li> </ul>
	<ul> <li>Does the suspect have fam y, ne ghbours and others that can provide information or influence the suspect's behaviour?</li> </ul>
	What do Po ce know about the ocat on?
3	In regards to a suspected offence, consider what means or opportunity the suspect has to carry out any un awfu
_	ntent on or threat by ask ng yourse f:
	<ul> <li>Does the suspect have the capab ty or means (e.g. f rearm) with which to carry out the act?</li> </ul>
	Is the suspect anywhere near the intended victim?
	Does the ocat on support the suspect's ntent?
4 Physical	In regards to any situation, consider the physical environment Police are entering into and what risks are present
environme	nt with n that environment. These may be risks associated with a suspect, other persons in the environment,
	phys ca terra n, weather and ght ng cond t ons, f res, chem ca s, gases or other env ronmenta factors:
	<ul> <li>Are there prob ems w th the approach, exposure, or access to the ocat on?</li> </ul>
	Does the terrain, vegetation, obstruction, or other issues create hazards?
	<ul> <li>If approach ng a suspect's house, s t key the ocation s fort fied, secured by a arms, ghts, other measures, guarded by dogs, or are there other hazards?</li> </ul>
	Has the ocat on ever been used as a 'c an ab' or does t pose a b o og ca /safety hazard?
	How far away s add t ona support for the suspect?
	Thow has away s additional support for the suspect!

#### Assessing the exposure

Exposure s about the potent a harm to Po ce emp oyees, Po ce operat ons, Po ce reputat on and to others. In a nstances, good assessment and p ann ng can m t gate the degree of exposure.

To understand exposure better, consider exposure in the following subsets:

- Safety
- · Security.

Fo ow these steps to assess the exposure.

Step	Assess	
1 Safety	Cons der the type of njury or harm that could occur to Police employees or the public, including victims, fam passers-by. Ask yourse f:	
	<ul> <li>How c ose are Po ce and others to the threat posed by the suspect or s tuat on, and how w Po ce contro that threat? For examp e, where a suspect's capabity extends to the use of frearms, Po ce must consider how c ose to the source of that threat Po ce and others should be.</li> </ul>	
	<ul> <li>Is there potent a for force to be used against an employee or the public?</li> </ul>	
	<ul> <li>Is there a ke hood of harm from any source during the intended deployment?</li> </ul>	
	<ul> <li>Do Po ce have the appropr ate resources (staff, tact ca opt ons) ava ab e to manage the threat? How far away s add t ona support?</li> </ul>	
	<ul> <li>Am I current in training? Do I have the right sk is for this situation? Do I have current and working equipment to protect me and others?</li> </ul>	
	What are the ke y outcomes of the proposed Po ce act on?	
2	Cons der the secur ty of the operat on wh ch, f not proper y managed, cou d ead to fa ure. Ask yourse f:	
Security	<ul> <li>Are your commun cat ons secured?</li> <li>Is there potent a to ose or damage Po ce equipment?</li> <li>Can cordons be established and maintained to contain the subject(s)?</li> </ul>	
	• Can cordons be established and maintained to contain the subject(s)?	

#### Assessing the necessity to act now, later or not at all

Any response to a s tuat on must first take into account a available information and must be supported by a clear assessment of the known threat and exposure involved.

Fo ow this step to assess 'necess ty' - whether to act now, ater or not at a - so that you come to an informed decision of how critical till storespond.

#### Step Assess

- Every Po ce dep oyment must be time y and proport onate to the threat and the exposure. Ask yourse f:
  - · Is t appropr ate to de ay the response?
  - Have you dent f ed the suspect?
  - . Do you have processes and contro s ava ab e to de-esca ate the threat to a manageab e eve?
  - · Are you tra ned and sk ed to meet the threat?
  - Do you have a the resources you need to respond safe y and effect ve y now or, should I de ay the response unt the right resources are available?
  - Is there a c ear chan of command?
  - What are the consequences to Po ce and/or pub c n de ay ng the response?

Important: Any opt on must reasonab y endeavour to maximise safety andminimise risk.

#### Developing a response

#### Before you respond

D scuss your ntended response with your supervisor where the necessity to respond permits.

#### Your response options

Cons der the opt ons ava ab e to you based on threat, exposure and necess ty, and p an a response that s t mey, proport onate and appropr ate. Ask yourse f:

- Shou d you de ay act on unt other resources, sk s or equ pment are ava ab e? Remember that caut on s not coward ce, and there may be good reasons to cordon and contain the subject while awaiting the arrival of special stse.g. AOS, PNT.
- Is a more deta ed nvest gat on nto the threat env ronment required before you can act safe y?
- Do you need to take prompt act on to prevent further oss of fe or njury? Remember that even n act ve s tuat ons you must first carefully assess the situation and make decisions, based on appropriate and approved risk assessment methods, and supported by your tranng and experence.

Note: Remember that in active response situations you must continuously reassess your response based on the situation as it unfo ds, and the subject's behav our.

#### Response methods

Any act on you take n response to a suspect/s tuat on re ated threat must be n accordance with the Po ce Integrated Tactical Tranng (PITT) and Use of force chapters of the Po ce Manua, the Tactical Options Framework (see PDF be ow), assoc ated staff safety technical training regimes, the Co-ordinated Incident Management System, Civ. Defence and Released Pursuant to Official Emergency Management and other re evant Po ce Manua chapters and Standard Operating Procedures.

Tactical options framework (PDF)

147.26 KB

#### Recording and reporting

h e recording and reporting is not part of the operational TENR threat assessment process, these are things you need to cons der:

#### Notebook recording

ou shou d make use of your notebook in unplanned incidents, if time a lows, to record your TENR threat assessment - see notebook gu de nes n the 'Notebooks and Job Sheets' chapter.

#### Reporting the use of force

Use of force must be reported in a Tactical Options Reporting (TOR) form, according to the reporting instructions in the Use of force' chapter.

#### **Debrief**

You should conduct or be part of a formal or informal debrief - see Debriefs' chapter.

#### Data updates and reports (lessons learnt)

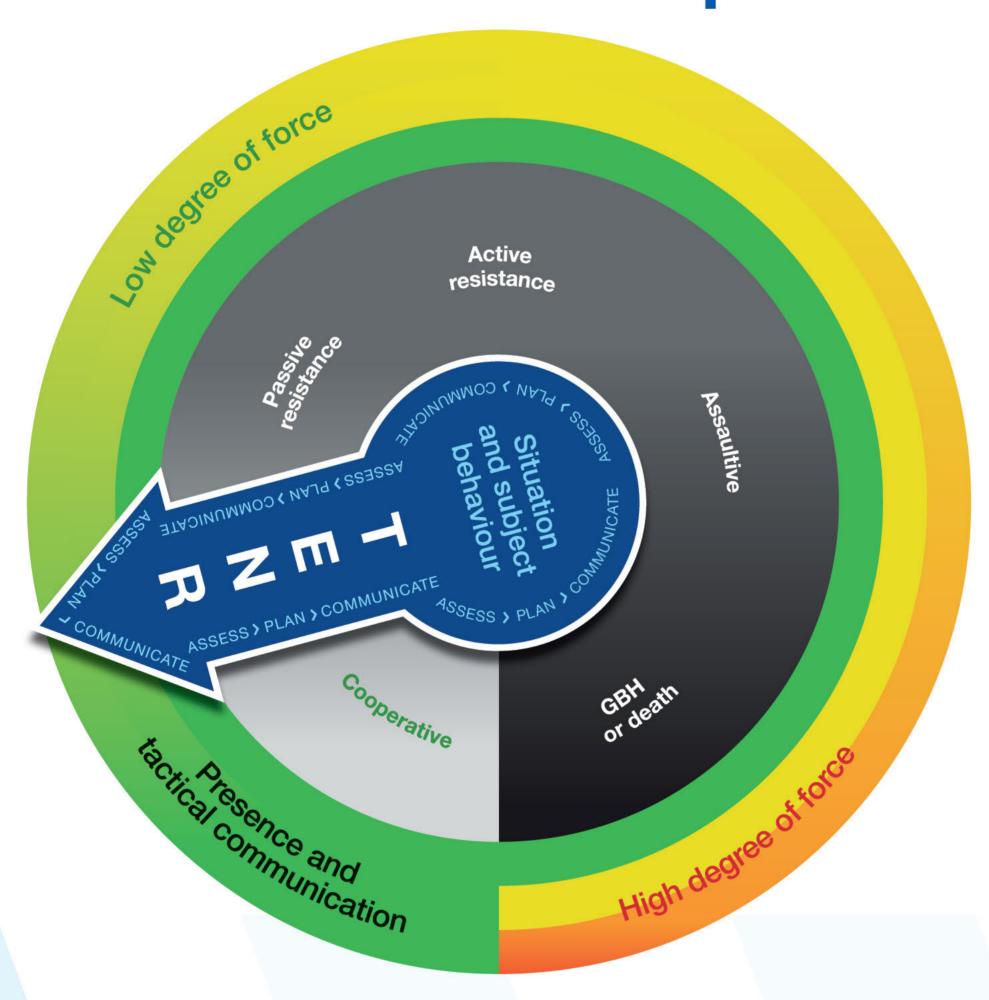
You shou d prov de data updates or reports as required by the <u>Debr efs'</u> chapter. Lessons pearned should be submitted via the Lessons Learnt Intranet s te.

Pr nted on: 13/08/2021

Printed on: 13/08/2021

Printed from: https://tenone.po ce.govt.nz/p/tenr-operationa-threat-assessment

# Tactical Options Framework



THREAT The subjects intent, capability or opportunity along with the physical environment

**EXPOSURE** Awareness of safety, security or public trust and confidence issues

**NECESSITY** Assessment of the need to intervene (act) now, later, or not at all

**RESPONSE** Proportionate, timely, reasonable, and lawful Police actions using tactics

and tactical options

TENR requires assessment and constant reassessment, planning and communication to be successful

#### Perceived cumulative assessment



Your subjective assessment and continuous reassessment of an incident, using the TENR model, based on information known about the situation and the subject's behaviour. The PCA may escalate and/or de-escalate more than once during an incident.



There are five categories in the PCA, which are represented in the TOF – cooperative, passive resistance, active resistance, assaultive, GBH or death.

### Engage, disengage or delay?



Your decision to escalate or de-escalate your response, and your choice of tactical option(s), must be continuously reassessed, using the TENR model, so you choose the most reasonable option, given all the circumstances known at the time.

Effective communication between police is as critical as effective tactical communication with the subject(s). Constant assessment, planning and communication between police should occur throughout a use of force incident.

#### Presence and tactical communication

Tactical communication is Police's preferred option for resolving incidents. Use tactical communication throughout an incident, alone or in conjunction with any other tactical option used.

## Tactical options and degrees of force



- » officer presence and tactical communication
- » mechanical restraints eg handcuffing
- » empty hand techniques eg physical restraints and strikes» OC spray
- » baton Taser dogs weapon(s) of opportunity
- » firearms and other force with serious implications

#### Prepare, show and use force

- "Prepare" force means carriage of a tactical option.
- "Show" force means presenting a tactical option at a subject.
- "Use" force means the application of force on a subject.

#### Reporting use of force

The Use of Force chapter of the Police Manual outlines which "shows" and "uses" of force you are required to report. Reporting force facilitates evidence-based decision-making to improve employee and public safety.

The legal authority to use force is derived from the law, not the TOF. If you use force that is not authorised by law, or is excessive, the fact that you relied on the TOF will not justify or legitimise the use of that force.

Reasonable force includes force that is necessary and proportionate, given all the circumstances known at the time.





IR-01-22-7409

7 April 2022



Tēnā koe

#### Request for information

Thank you for your Official Information Act 1982 (OIA) request of 15 March 2022, in which you asked for information relating to the Wellington protests.

You requested the following:

All Eagle helicopter footage from Wellington/of the convoy protest on March 3, from 6am to 9am, and from 3pm to 8pm that day.

Police is currently undertaking a criminal investigation into activity that occurred at the Wellington protest on 2 March 2022. The footage from Eagle has been taken as part of that active investigation.

Therefore, your request is refused under s6(c) of the OIA, as the making available of the information is likely to prejudice the maintenance of the law including the prevention, investigation and detection of offences and the right to a fair trial.

You have the right to ask the Ombudsman to review my decision if you are not satisfied with the response to your request. Information about how to make a complaint is available at: www.ombudsman.parliament.nz.

Yours sincerely

David Greig
Superintendent – Major Operations Centre
New Zealand Police



11 April 2022 IR-01-22-7819





#### Request for information

Thank you for your Official Information Act 1982 (OIA) request of 18 March 2022, which was transferred from Fire and Emergency New Zealand (FENZ). You asked for information relating to the use of water cannons on protestors outside Parliament on Wednesday 2 March 2022.

On 21 March 2022 Ministerial Services emailed you to clarify what you meant by the term water cannons as water cannons are not a tactical option that Police use.

You clarified that you were referring to "any firefighting equipment or other equipment used to spray water at or near members of the public (including but not limited to those involved in the protest). This includes water cannons, fire hoses and any other similar equipment."

My response to each of your questions can be found below and relate to the use of fire hoses by Police on 2 March 2022.

1. Is there a precedent for this?

I know of no other incidents where FENZ hoses were used by Police against people assaulting Police.

2. What safety concerns were considered with regards to the possibility of children or pregnant women being hit?

There were no reports of pregnant women or children throwing dangerous items at Police. At the time the hoses were being used they were controlled by Police.

3. What general safety issues were considered?

General safety concerns were for the prevention of other fires being started near the cenotaph, slippery ground and Police being grievously wounded by protestors hurling objects intended to maim.

4. What factors suggested that this was a reasonable course of action?

Factors considered were the high degree of sustained violence being used against Police, the presence of Molotov cocktails and one protestor preparing to throw a Molotov cocktail.

5. Was there any thought about the damage to the reputation of the fire service?

FENZ was consulted prior to authorisation being granted.

I hope this information is of use to you.

Yours sincerely

David Greig

Superintendent - Capability

New Zealand Police